BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TELEPHONE: (303) 740-1980

INTELLECTUAL PROPERTY LAW 1279 OAKMEAD PARKWAY SUNNYVALE, CA 94085-4040

FACSIMILE: (303) 740-6962

RECEIVED CENTRAL FAX CENTER

FACSIMILE COVER SHEET

JUL 14 2008

Deliver to: Phuong M. Phu, USPTO	Art Group:2611				
Facsimile No.: (571) 273-8300	Date: July 14, 2008				
From: Brent E. Vecchia, Reg. No. 48,0	011				
Our Docket No.: 42P17667	Number of pages 34_including this sheet.				
Application No.: 10/749,987	Filing Date: 12/31/2003				
Enclosed are the following documents:	Docket Due Date(s): 7/12/2008				
Amendment: (ρgs)	☐ Issue Fee Transmittal				
Appeal Brief (3C pgs) ■ Appeal Brief (3C pgs)	☐ Notice of Appeal (in duplicate)				
Application:	☐ Petition for:				
(pgs) w/cover & abstract)	Request for Continued Examination (RCE) (in duplicate)				
Assignment & Cover Sheet (pgs)	Reply Brief (pgs)				
☑ Certificate of Facsimile	Request & Certification Under 35 USC 122(b)(2)(B)(i)				
☐ Continued Prosecution Application (CPA)	Request to Rescind Previous Nonpublication Request				
Declaration & POA (pgs)	☐ Response to Notice of Missing Parts & Formalities Letter				
Drawings: sheets, figures	Response to Written Opinion (pgs)				
Extension of Time:	☐ Terminal Disclaimer				
Fee Transmittal (in duplicate)	☐ Transmittal of Publication Fee Due				
DS & PTO/SB/08 (pgs)	▼ Transmittal Letter				
Other:					
CERTIFICATE OF MAILIN	G/TRANSMISSION (37 CFR 1.8A)				

I hereby certify that this correspondence is being transmitted by facsimile on the date shown below to the United States
Patent and Trademark Office.

Z. Vecchia 7/14/2008

Confidentiality Note: The documents accompanying this facsimile transmission contain information from the law firm of Blakely, Sokoloff, Taylor & Zafman which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

If you do not receive all the pages, or if there is any difficulty in receiving, please call: (303) 740-1980 and ask for Brent E. Vecchia.

JUL 1 4 2008

TDANGARITALE		Application No.	10/749,987		
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	December 31, 2003		
		First Named Inventor	Neil J. Bershad		
		Art Unit	2611		
		Examiner Name	Phuong M. Phu		
Total Number of Pages in This Submissio	34	Attorney Docket Number	42P17667		
ENCLOSURES (check all that apply)					
Fee Transmittal Form	Drawing(s)		After Allowance Communication to TC		
Fee Attached	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and interferences		
Amendment / Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/declaration(s)	Petition to Provisional	Convert a Application	Proprietary Information		
Extension of Time Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter		
Express Abandonment Request	Terminal Disclaimer Request for Refund		Other Enclosure(s) (please identify below):		
Information Disclosure Statement			Facsimile Cover Sheet		
PTO/SB/08	CD, Numbi	er of CD(s)			
Certified Copy of Priority Document(s)	Lands	cape Table on CD	·		
Response to Missing Parts/ Incomplete Application	Remarks				
Basic Filing Fee Declaration/POA		J			
Response to Missing Parts under 37 CFR 1,52 or 1,53					
SIGNATUR	E OF APPLICAL	NT, ATTORNEY, OR A	GENT		
Firm Brent E. Vecch	ia, Reg. No. 4	8,011	-		
	OKOLOFF,	TAYLOR & ZAFM	IAN LLP		
Signature Kout E. (
Date July 14, 2008					
CERTIF	ICATE OF MAIL	ING/TRANSMISSION			
I hereby certify that this correspondence is being transmitted via facsimile on the date shown below to the United States Patent and Trademark Office.					
Typed or printed name Brent E. Vecchia					
Signature Cent	E leaching	2.	Date July 14, 2008		

JUL 1 4 2008

for Patent fors	MENT (check all	Application Numbifiling Date First Named Inver Examiner Name Art Unit Attorney Docket N Other (please iden	Complete if I er 10/74 Dece ntor Ncil Phuo 2611 Dece 42P1	49,987 ember 31, 2 J. Bershad ong M. Phu		
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.						
FEE CALCULATION						
Large Entity Fee Fee	Small Entity Fee Fee Code (\$)	Fee Descripti	on			Fee Paid
Code (\$)	1	Ourskamen take	. C''	4L		
1051 130 1052 50		Surcharge - late	_			. '
2053 130		Surcharge - late	•	lling tee or co	ver sneet	•
1251 120		Non-English sp Extension for re		t month		•
1252 460	l .	Extension for re				
1253 1,050		Extension for re				
1254 1.640		Extension for re	• •			}
1255 2,230		Extension for re	. •			
1401 510	1	Notice of Appea	•			
1402 510		Filing a brief in		appeal		510.00
1403 1,030	1	Request for ora				
1451 1,510	1	Petition to instit	_	ise proceeding	g	
1460 130	B .	Petitions to the	<u>-</u>	•	-	
1807 50		Processing fee				
1806 180	1806 180			•	t	
1809 810	1809 405	Filing a submissi)) .
1810 810	2810 405	For each addition	nal invention to	be examined (37 CFR § 1.	.129(b))
Other fee (specify)						
SUBTOTAL (2) (\$) 510.00						
SUBMITTED BY					Comp	lete (if applicable)
	nt E. Vecchia		Registration No. (Attorney/Agent)	48,011	Telephone	(303) 740-1980
Signature	Sent E. Ve	echiq			Date	07/14/08

Based on PTO/93/17 (12-03)63 goddikat by Blakely, Solokoff, Taylor & Zafman (wir) 12/15/2004. SEND TO, Commissioner for Patenta, P.O. Box 1450, Alexandra, VA 22313-1450.

JUL 1 4 2008

FFF	TE	2 A N S	IM	"ΤΔΙ	Complete if Known			
FEE TRANSMITTAL for FY 2007			Application Number 10/749,987					
Petent fees are subject to annual revision.				Filing Date First Named Inven		mber 31, 2 J. Bershad		
Applicant claims small entity status. See 37 CFR 1.27.				Examiner Name		ng M. Phu		
Art Unit 2611								
TOTAL AMO	UNT OF	PAYMEN	T	(\$) 510.00	Attorney Docket N	lo. 42P1	7667	
METHOD OF PAYMENT (check all that apply)								
Check C	redit ca	M 🗌 bu	oney Or	rder 🖾 None 🔲 🗆 🗆	ther (please iden	tify):		
Deposit Acc	ount D	eposit Ac	count N	umber: <u>02-2666</u> D	eposit Account N	iame: <u>Blakely, So</u>	koloff, Taylo	or & Zafman LLP
For the abo	ve-iden	itified dep	osit acc	ount, the Director is he				
		indicated			. = -	ee(s) indicated be	low, except t	for the filing fee
Charge Ch	e any ac	dditional 1	fee(s) or	underpayment of fee(18 and 1.20.	(5) 🛛 Credit a	ny overpayments		·
		c şş 1.10,	1.17, 1.	16 200 1.20.				
FEE CALCULA	HUN				· · · · · · · · · · · · · · · · · · ·			
Large Entit	ly	Small	Entity					
	ee		Fee	Fee Description	าก			Fee Paid
Code (\$)	Code	(\$)	r co Boompin	511			1 ee 1 aiu
1051	130	2051	65	Surcharge - late	filing fee or	oath		
1052	50	2052	25	Surcharge - late	provisional f	iling fee or co	ver sheet	:-
2053	130	2053	130	Non-English spe	ecification			1 1
1251	120	2251	60	Extension for re	ply within firs	t month		1 11
1252	460	2252	230	Extension for re	ply within sec	cond month		
1253	1,050	2253	525	Extension for re	ply within thir	d month		
1254	1,640	2254	820	Extension for re	ply within fou	rth month		·
1255	2,230	2255	1,115	Extension for re	ply within fifth	n month		
1401	510	2401	255	Notice of Appea	al			
1402	510	2402		• •	Filing a brief in support of an appeal 510.			
1403	1,030	2403		•	Request for oral hearing			
1451	1,510	2451		•	Petition to institute a public use proceeding			
1460	130	2460		Petitions to the Commissioner				
1807	50	1807	50	Processing fee	Processing fee under 37 CFR 1.17(q)			
1806	180	1806		Submission of I			t	
1809	810	1809		Filing a submission))
1810	810	2810		For each addition			_	··
Other fee (specify)								
SUBTOTAL (2) (\$) 510.00								
SUBMITTED		• k	hin		Registration No.	49.011	Comp Telephone	(303) 740-1980
Name (РппVТуре)	_ /1_	LE. Vecc			(Altomey/Agent)	48,011	releprione	· · · · · · · · · · · · · · · · · · ·
Sianature	1 1/2	Cent 2	. lke	e Bus			Date	07/14/08

JUL 1 4 2008

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Application, No.

: 10/749,987

Confirmation No. :

9976

1st Named Inventor: Neil J. Bershad

Art Unit

2609

Filed

: 12/31/2003

Examiner

Pablo R. Ovando

Docket No.

: 42P17667

Customer No.

7590

Mail Stop Appeal Brief-Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

APPEAL BRIEF IN SUPPORT OF APPELLANT'S APPEAL TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Sir:

This brief is in furtherance of the Notice of Appeal, filed in the above-captioned case on 5/12/08. Applicants (hereafter "Appellants") hereby submit this Brief (37 C.F.R. § 41.37). The fees required under § 41.20(b)(2), and any required petition for extension of time for filing this brief and fees therefor, are dealt with in the accompanying Transmittal of Appeal Brief. Appellants respectfully request consideration of this appeal by the Board of Patent Appeals and Interferences for allowance of the above-captioned patent application.

An oral hearing is not desired.

97/15/2008 VBUI11

00000069 022666

10749987

01 FC:1402

510.00 DA

Docket No. 42P17667 Application No.: 10/749,987

JUL 1 4 2008

TABLE OF CONTENTS

3037406962

This brief contains these items under the following headings, and in the order set forth below (37 C.F.R. § 41.37c(1)):

ī.	REAL PARTY IN INTEREST	3
II.	RELATED APPEALS AND INTERFERENCES	3
III.	STATUS OF THE CLAIMS	3
IV.	STATUS OF AMENDMENTS	4
V.	SUMMARY OF CLAIMED SUBJECT MATTER	5
VĮ.	GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL	. 9
VII.	ARGUMENT	.10
VIII.	CLAIMS APPENDIX	.24
lX.	EVIDENCE APPENDIX	. 29
Χ.	RELATED PROCEEDINGS APPENDIX	. 30

Page 23 of this brief bears the practitioner's signature.

I. REAL PARTY IN INTEREST (37 C.F.R. § 41.37(c)(1)(i))

The real party in interest in this appeal is Intel Corporation of 2200 Mission College Boulevard, Santa Clara, California, 95052, to whom the invention is assigned.

IJ. RELATED APPEALS AND INTERFERENCES (37 C.F.R. § 41.37(c)(1)(ii))

With respect to other appeals or interferences that will directly affect, or be affected by, or have a bearing on the Board's decision in this appeal, to the best of Appellant's knowledge, there are no such appeals or interferences.

III. **STATUS OF THE CLAIMS (37 C.F.R. § 41.37(c)(1)(iii))**

The status of the claims in this application are:

A. TOTAL NUMBER OF CLAIMS IN APPLICATION

Claims 1, 3-9, 11-29 are currently pending in the application.

B. STATUS OF ALL THE CLAIMS

- 1. Claims cancelled: 2, 10
- 2. Claims withdrawn from consideration but not cancelled: NONE
- 3. Claims pending: 1, 3-9, 11-29
- 4. Claims allowed: None
- 5. Claims rejected: 1, 3-9, 11-29

C. CLAIMS ON APPEAL

Claims 1, 3-9, 11-29 are on appeal.

Docket No. 42P17667

Application No.: 10/749,987

IV. STATUS OF AMENDMENTS (37 C.F.R. § 41.37(c)(1)(iv))

A response was submitted on 4/7/08 in response to the Final Office Action mailed on February 2/08/08. The response did not include any amendments to the claims. A copy of all claims on appeal is attached hereto as an appendix of claims.

V. SUMMARY OF CLAIMED SUBJECT MATTER (37 C.F.R. §

41.37(c)(1)(v)

Embodiments of the invention pertain to a dual adaptive filter apparatus and/or method. See e.g., the Title.

Independent claim I pertains to a method according to a first embodiment of the invention. See e.g., FIG. 2, paragraphs [0016] through [0024], and original claim 1. The method includes transforming a signal from a time domain to a transform domain with a wavelet transform. See e.g., block 210 in FIG. 2, paragraph [0016], paragraphs [0040]-[0041], and signal transformer 310 in FIG. 3. The method includes adapting a first adaptive filter in the transform domain based on the transformed signal. See e.g., block 220 in FIG. 2, paragraph [0017], paragraph [0021], and first adaptive filter 320 in FIG. 3. The method includes estimating a delay of an impulse response based on the adaptation of the first filter. See e.g., block 230 in FIG. 2, paragraph [0018], paragraph [0021], paragraph [0046], paragraph [0049]-[0050], and delay estimator 340 in FIG. 3. The method includes delaying a signal based on the estimated delay. See e.g., block 240 in FIG. 2, paragraph [0019], and delayer 350 in FIG. 3. The method includes adapting a second adaptive filter in the time domain based on the delayed signal. See e.g., block 250 in FIG. 2, paragraph [0020], paragraph [0021], and second adaptive filter 360 in FIG. 3.

Independent claim 9 pertains to an article according to a first embodiment of the invention. See e.g., paragraph [0072] and original claim 9. The article includes a storage medium having stored thereon data representing sequences of instructions that if executed cause an apparatus to perform a process or set of operations. See e.g., paragraph [0072] and original claim 9. The process includes transform a signal from a time domain to a transform domain with a wavelet transform. See e.g., block 210 in FIG. 2. paragraph [0016], paragraphs [0040]-[0041], and signal transformer 310 in FIG. 3. The process includes adapt a first adaptive filter in the transform domain based on the

transformed signal. See e.g., block 220 in FIG. 2, paragraph [0017], paragraph [0021], and first adaptive filter 320 in FIG. 3. The process includes estimate a delay of an impulse response based on the adaptation of the first filter. See e.g., block 230 in FIG. 2, paragraph [0018], paragraph [0021], paragraph [0046], paragraph [0049]-[0050], and delay estimator 340 in FIG. 3. The process includes adapt a second adaptive filter in the time domain based on a signal that has been delayed based on the estimated delay. See e.g., block 240 in FIG. 2, paragraph [0019], and delayer 350 in FIG. 3. Also see e.g., block 250 in FIG. 2, paragraph [0020], paragraph [0021], and second adaptive filter 360 in FIG. 3.

Independent claim 16 pertains to an apparatus according to a first embodiment of the invention. See e.g., FIG. 3 and original claim 16. The apparatus includes a signal transformer to transform a signal in a time domain to a transformed signal in a transform domain. See e.g., signal transformer 310 in FIG. 3, paragraph [0025], paragraphs [0028]-[0029], block 210 in FIG. 2, paragraph [0016], and paragraphs [0040]-[0041]. The apparatus also includes a first adaptive filter in communication with the signal transformer, the first adaptive filter to adapt based on the transformed signal in the transform domain. See e.g., first adaptive filter 320 in FIG. 3, paragraph [0025], paragraph [0041], block 220 in FIG. 2, paragraph [0017], paragraph [0021]. apparatus also includes a delay estimator in communication with the first adaptive filter, the delay estimator to estimate a delay associated with an impulse response based on the adaptation of the first adaptive filter. See e.g., delay estimator 340 in FIG. 3, paragraph [0025], paragraphs [0049]-[0050], block 230 in FIG. 2, paragraph [0018], paragraph [0021], and paragraph [0046]. The apparatus also includes a delayer in communication with the delay estimator, the delayer to delay a signal in the time domain based on the estimate of the delay. See e.g., delayer 350 in FIG. 3, paragraph [0025], paragraphs [0056]-[0060], block 240 in FIG. 2, and paragraph [0019]. The apparatus also includes a

second adaptive filter in communication with the delayer, the second adaptive filter to adapt in the time domain based on the delayed signal. See e.g., second adaptive filter 360 in FIG. 3, paragraph [0025], paragraphs [0063]-[0065], block 250 in FIG. 2, paragraph [0020], and paragraph [0021].

Independent claim 26 pertains to an apparatus according to a first embodiment of the invention. Sec e.g., FIG. 4, FIG. 3, and original claim 26. The apparatus includes a DRAM memory and an echo canceller. See e.g., echo cancellor 300 in FIG. 3, echo cancellor 410 in FIG. 4, and DRAM memory mentioned in paragraph [0068]. The echo cancellor includes a signal transformer to transform a signal in a time domain to a transformed signal in a transform domain. Sec e.g., signal transformer 310 in FIG. 3, paragraph (0025), paragraphs (0028]-[0029], block 210 in FIG. 2, paragraph [0016], and paragraphs [0040]-[0041]. The echo cancellor also includes a first adaptive filter in communication with the signal transformer, the first adaptive filter to adapt based on the transformed signal in the transform domain. See e.g., first adaptive filter 320 in FIG. 3, paragraph [0025], paragraph [0041], block 220 in FIG. 2, paragraph [0017], paragraph [0021]. The echo cancellor also includes a delay estimator in communication with the first adaptive filter, the delay estimator to estimate a delay associated with an impulse response based on the adaptation of the first adaptive filter. See e.g., delay estimator 340 in FIG. 3. paragraph [0025], paragraphs [0049]-[0050], block 230 in FIG. 2, paragraph [0018], paragraph [0021], and paragraph [0046]. The echo cancellor also includes a a delayer in communication with the delay estimator, the delayer to delay a signal in the time domain based on the estimate of the delay. See e.g., delayer 350 in FIG. 3, paragraph [0025], paragraphs [0056]-[0060], block 240 in FIG. 2, and paragraph [0019]. The echo cancellor also includes a second adaptive filter in communication with the delayer, the second adaptive filter to adapt in the time domain based on the delayed

signal. See e.g., second adaptive filter 360 in FIG. 3, paragraph [0025], paragraphs [0063]-[0065], block 250 in FIG. 2, paragraph [0020], and paragraph [0021].

VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL (37 C.F.R. § 41.37(c)(1)(vi))

A. Claims 1, 5-9, and 13-15 are rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent Application Publication No. 2002/0093919 by Bershad et al., in view of U.S. Patent No. 4,951,269 issued to Amano et al., in further view of the article "Wavelet Transform Domain Adaptive FIR Filtering" by Hosur et al.

B. Claims 16, 20-26, and 28-29 are rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent Application Publication No. 2002/0093919 by Bershad et al., in view of U.S. Patent No. 4.951,269 issued to Amano et al.

VII. ARGUMENT (37 C.F.R. § 41.37(c)(1)(vii))

A. Rejection of claims 1, 5-9, and 13-15 under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent Application Publication No. 2002/0093919 by Bershad et al. (hereinafter "Bershad"), in view of U.S. Patent No. 4,951,269 issued to Amano et al. (hereinafter "Amano"), in further view of the article "Wavelet Transform Domain Adaptive FIR Filtering" by Hosur et al. (hereinafter "Hosur") is believed to be improper.

GROUP I: CLAIMS 1, 5-9 and 13-15

The Examiner has rejected claims 1, 5-9 and 13-15 under 35 U.S.C. §103(a) as being unpatentable over <u>Bershad</u> in view of <u>Amano</u> in further view of <u>Hosur</u>.

Claim 1 pertains to:

"A method comprising:

transforming a signal from a time domain to a transform domain with a wavelet transform;

adapting a first adaptive filter in the transform domain based on the transformed signal;

estimating a delay of an impulse response based on the adaptation of the first filter;

delaying a signal based on the estimated delay; and

adapting a second adaptive filter in the time domain based on the delayed signal".

Notice that the delay is <u>estimated</u> based on the <u>adaptation</u> of the first filter, which was adapted in the transform domain. Also, notice that two filters (not just one) are adapted. In particular, the first filter is adapted in the transform domain, and the second filter is adapted in the time domain. Also notice that the second adaptive filter is adapted based on a <u>delayed</u> signal that is delayed based on the delay <u>estimated</u> based on the <u>adaptation</u> of the first filter.

Firstly, Appellants respectfully submit that Bershad, Amano and Hosur should not be combined as proposed by the Examiner. Secondly, Appellants respectfully submit that Bershad, Amano and Hosur do not disclose all of the limitations of claim 1.

I. Bershad, Amano and Hosur should not be combined as proposed by the Examiner

Bershad discusses fast converging affine projection based echo cancellers for sparse multi-path channels. See e.g., the Title. FIG. 3 of Bershad is a diagram illustrating an AP-based echo canceller. See e.g., paragraph [0011]. FIG. 3 includes an adaptive filter 310 and an adaptive filter 330. FIG. 4A is a diagram illustrating the adaptive filter 310 for the AP-based echo canceller shown in FIG. See e.g., FIG. 4A and paragraph [0012]. FIG. 4B is a diagram illustrating the adaptive filter 330 for the AP-based echo canceller shown in FIG. 3. See e.g., FIG. 4B and paragraph [0013]. Inspection of FIGs. 4A and 4B reveals that these adaptive filters both receive signal u(k). U(k) is the notation used for the time domain. Accordingly, both the adaptive filter 310 of FIG. 4A and the adaptive filter 330 of FIG. 4B adapt in the time domain.

Amano discusses an echo canceller with a short processing delay and decreased multiplication number. See e.g., the Title. FIG. 1 is a block diagram showing the general structure of an echo canceller. As shown in FIG. 1 and discussed at column 8, lines 9-52, the echo canceller includes in part a 1" 2N'points fast Fourier transform (FFT) 11, a finite impulse response (FIR) filter 12, a 2N'-points inverse fast Fourier transform (IFFT), and a delay circuit 18. However, Amano discusses using one single filter, namely the FIR filter 12 in FIG. 1. There is no disclosure or suggestion that the single FIR filter 12 could or

JUL-14-2008 19:08 From: BSTZ

should be used in a two-filter approach like that disclosed in <u>Bershad</u>. Furthermore, <u>Amano</u> does not disclose that adaptation of the single FIR filter 12 be used to estimate a <u>delay</u> of an impulse response. Rather, <u>Amano</u> discusses that the single FIR filter 12 be used to estimate the <u>echo signal</u> that is subtracted from the actual echo signal. <u>Amano</u> does not disclose that adaptation of a filter be used to estimate a <u>delay</u>.

The Examiner has asserted that "it would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the teachings of <u>Amano</u> in <u>Bershad</u> for the purpose of 'reducing calculations'". See e.g., page 3 of the Final Office Action mailed 02/08/08.

Appellants respectfully disagree. At least four reasons are given below.

Firstly, there is no disclosure that calculations would be reduced if the FIR filter 12 of Amano were used to estimate the delay in a two-filter approach like that disclosed in Bershad as asserted by the Examiner. Amano discloses that the single FIR filter 12 alone is sufficient for the intended purpose of estimating the echo signal. The Examiner has proposed effectively adding to the transform and adaptation of the FIR filter 12 of Amano an adaptation of a second filter in the time domain as disclosed in Bershad. However, adding the adaptation of the second filter to Amano would increase the number of calculations, instead of decreasing the number of calculations. Accordingly, the Examiner's motiviation for combining Amano with Bershad would seem to be invalid.

The present patent application discloses that certain transforms, such as, for example, partial Haar transforms, are computationally efficient and fast at estimating a delay of a sparse impulse response. The present patent application

Docket No. 42P17667

Application No.: 10/749,987

also discloses that calculations may be reduced and convergence accelerated when such a transform is used and a first filter adapted on the transformed signal to estimate a delay, and also a second filter is adapted based on a signal delayed by the estimated delay.

However, neither <u>Bershad</u> or <u>Amano</u> disclose such partial Haar transforms. The transform and FIR filter 12 adaptation discussed in <u>Amano</u> is reportedly alone sufficient to estimate the echo. The Examiner has not cited a reference demonstrating how to reduce the transform and FIR filter 12 of <u>Amano</u> so that adding an adaptation of a second filter as disclosed in <u>Bershad</u> would result in a total/combined number of calculations that would be less than that for <u>Amano</u> alone. Without such a reduction of the calculations already described in <u>Amano</u>, adding additional calculations for the adaptation of the second filter proposed by the Examiner would increase the number of calculations, not reduce the number of calculations as asserted by the Examiner. Additionally, if the FIR filter 12 discussed in <u>Amano</u> alone is sufficient for the intended purpose of estimating the echo, then adding the additional second filter adaptation as proposed by the Examiner would seem to serve no other purpose other than to increase the number of calculations.

Accordingly, Appellants respectfully submit that the Examiner's stated reasons for combining these references would be invalid. Further, Appellants respectfully submit that the Examiner has not identified a proper reason to add adaptation of a second filter in the time domain to the adaptation of the FIR filter 12 already described in Amano.

Secondly, there is no disclosure or suggestion in either reference that the FIR filter 12 would be useful for estimating the delay of an impulse response in

Docket No. 42P17667

Application No.: 10/749,987

the two-filter approach of Bershad. The Examiner has not established that delay

estimation is a prior art established function of the FIR filter 12 of Amano.

Since neither reference establishes that the FIR filter 12 of Amano can be used to

estimate a delay, it would not be obvious to substitute it for the time-based filter

used in Bershad for estimating delay.

Thirdly, Amano discloses that frequency domain adaptive filtering is

superior to time domain adaptive filtering concerning the computations

required. See e.g., column 1, lines 11-14. This seems to teach away from the

Examiner's proposed modification to use the frequency domain adaptation

described in Amano just to estimate a delay that is used by a a time adaptation as

discussed in Bershad.

Fourthly, each of Amano and Bershad is complete and functional in itself.

Accordingly, there would be no reason to use parts of Amano in Bershad. In

addition, modifications not taught in the prior art may be needed in order to

make the combination proposed by the Examiner.

Accordingly, for at least one or more of these reasons, Appellants

respectfully submit that Amano and Bershad should not be combined as

proposed by the Examiner.

Claim 1 also recites that the signal is transformed with a wavelet

transform. However, Amano discusses using a fast Fourier transform (FFT). The

Examiner has also asserted that it would have been obvious to apply the wavelet

transform teachings of Hosur in Bershad. See e.g., page 3 of the Final Office

Action mailed 02/08/08.

Docket No. 42P17667

Application No.: 10/749,987

Appellants respectfully disagree. Firstly, there is no disclosure that calculations would be reduced if wavelet transform domain adaptive FIR filtering of Hosur were used to estimate the delay in a two-filter approach like that disclosed in Bershad. The discussion above is pertinent to this point. In particular, the Examiner has not shown where Hosur discloses using a partial Haar transform to estimate a delay.

Secondly, since the filtering discussed in Hosur alone appears to be sufficient for the intended purpose, adding the additional second filter adaptation as proposed by the Examiner would seem to serve no other purpose other than to increase the number of calculations.

Thirdly, there is no disclosure or suggestion in either reference that the wavelet transform domain adaptive FIR filters discussed in Hosur be useful for estimating the delay of an impulse response in the two-filter approach of Bershad. The Examiner has not established that delay estimation is a prior art established function of the wavelet transform domain adaptive FIR filters of Hosur. Since neither reference establishes that the wavelet transform domain adaptive FIR filters of Hosur be used to estimate a delay, it would not be obvious to substitute it for the time-based filter used in Bershad for estimating delay.

Fourthly, each of Hosur and Bershad is complete and functional in itself. Accordingly, there would be no reason to use parts of Hosur in Bershad. In addition, modifications not taught in the prior art may be needed in order to make the combination proposed by the Examiner.

Accordingly, for at least one or more of these reasons, Hosur should not be combined with Amano and Bershad.

II. <u>Bershad</u>, <u>Amano</u> and <u>Hosur</u> do not disclose all of the limitations of claim 1.

Even if combined, Appellants respectfully submit that <u>Bershad</u>, <u>Amano</u> and <u>Hosur</u> do not disclose all of the limitations of claim 1. In particular, <u>Bershad</u>, <u>Amano</u> and <u>Hosur</u> do not disclose "adapting a first adaptive filter in the transform domain based on the transformed signal; estimating a delay of an impulse response based on the adaptation of the first filter; delaying a signal based on the estimated delay; and adapting a second adaptive filter in the time domain based on the delayed signal". In particular, neither of <u>Bershad</u>, <u>Amano</u> or <u>Hosur</u> disclose estimating a delay of an impulse response based on the adaptation of the first filter that was adapted in the transform domain.

In the Advisory Action, the Examiner has asserted that claim 1 does not recite that the first adaptive filter has the function of estimating the delay. Applicants respectfully disagree. Claim 1 specifically recites "adapting a first adaptive filter in the transform domain based on the transformed signal" and "estimating a delay of an impulse response based on the adaptation of the first filter".

Appellants respectfully submit that Hindsight based on statements in the patent application cannot be the reason for combining references. It is not correct merely to focus on the differences between the prior art and the claimed invention, and then to state that the differences themselves are obvious. The claimed invention as a whole is to be considered. Further, it is impermissible to use the patent application as the basis for the obviousness rejection. It is impermissible to use the claimed invention as an instruction manual or "template" to piece together the teachings of the prior art so that the claimed

Docket No. 42P17667

Application No.: 10/749,987

invention is rendered obvious. One cannot use hindsight reconstruction to pick and choose among isolated disclosures in the prior art to deprecate the claimed invention.

For at least one or more of these reasons, claim 1 and its dependent claims are believed to be allowable over <u>Bershad</u>, <u>Amano</u> and <u>Hosur</u>.

Independent claim 9 and its dependent claims are believed to be allowable over <u>Bershad</u>, <u>Amano</u> and <u>Hosur</u> for one or more similar reasons.

To: USPTO

В. Rejection of claims 16, 20-26, and 28-29 are rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent Application Publication No. 2002/0093919 by Bershad et al. (hereinafter "Bershad"), in view of U.S. Patent No. 4,951,269 issued to Amano et al. (hereinafter "Amano") is helieved to be improper

GROUP II: CLAIMS 16, 20-26 and 28-29

The Examiner has rejected claims 16, 20-26 and 28-29 under 35 U.S.C. §103(a) as being unpatentable over Bershad in view of Amano.

Claim 16 pertains to:

"An apparatus comprising:

- a signal transformer to transform a signal in a time domain to a transformed signal in a transform domain;
- a first adaptive filter in communication with the signal transformer, the first adaptive filter to adapt based on the transformed signal in the transform domain:
- a delay estimator in communication with the first adaptive filter, the delay estimator to estimate a delay associated with an impulse response based on the adaptation of the first adaptive filter;
- a delayer in communication with the delay estimator, the delayer to delay a signal in the time domain based on the estimate of the delay; and
- a second adaptive filter in communication with the delayer, the second adaptive filter to adapt in the time domain based on the delayed signal".

Firstly, Appellants respectfully submit that Bershad and Amano should not be combined as proposed by the Examiner. Secondly, Appellants respectfully submit that Bershad and Amano do not disclose all of the limitations of claim 16.

I. Bershad and Amano should not be combined as proposed by the Examiner

The Examiner has asserted that "it would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the teachings of <u>Amano</u> in <u>Bershad</u> for the purpose of 'reducing calculations'". See e.g., page 6 of the Final Office Action mailed 02/08/08.

Appellants respectfully disagree. At least four reasons are given below.

Firstly, there is no disclosure that calculations would be reduced if the FIR filter 12 of Amano were used to estimate the delay in a two-filter approach like that disclosed in Bershad as asserted by the Examiner. Amano discloses that the single FIR filter 12 alone is sufficient for the intended purpose of estimating the echo signal. The Examiner has proposed effectively adding to the transform and adaptation of the FIR filter 12 of Amano an adaptation of a second filter in the time domain as disclosed in Bershad. However, adding the adaptation of the second filter to Amano would increase the number of calculations, instead of decreasing the number of calculations. Accordingly, the Examiner's motiviation for combining Amano with Bershad would seem to be invalid.

The present patent application discloses that certain transforms, such as, for example, partial Haar transforms, are computationally efficient and fast at estimating a delay of a sparse impulse response. The present patent application also discloses that calculations may be reduced and convergence accelerated when such a transform is used and a first filter adapted on the transformed signal to estimate a delay, and also a second filter is adapted based on a signal delayed by the estimated delay.

However, neither <u>Bershad</u> or <u>Amano</u> disclose such partial Haar transforms. The transform and FIR filter 12 adaptation discussed in <u>Amano</u> is

Docket No. 42P17667

Application No.: 10/749,987

reportedly alone sufficient to estimate the echo. The Examiner has not cited a reference demonstrating how to reduce the transform and FIR filter 12 of Amano so that adding an adaptation of a second filter as disclosed in Bershad would result in a total/combined number of calculations that would be less than that for Amano alone. Without such a reduction of the calculations already described in Amano, adding additional calculations for the adaptation of the second filter proposed by the Examiner would increase the number of calculations, not reduce the number of calculations as asserted by the Examiner. Additionally, if the FIR filter 12 discussed in Amano alone is sufficient for the intended purpose of estimating the echo, then adding the additional second filter adaptation as proposed by the Examiner would seem to serve no other purpose other than to increase the number of calculations.

Accordingly, Appellants respectfully submit that the Examiner's stated reasons for combining these references would be invalid. Further, Appellants respectfully submit that the Examiner has not identified a proper reason to add adaptation of a second filter in the time domain to the adaptation of the FIR filter 12 already described in Amano.

Secondly, there is no disclosure or suggestion in either reference that the FIR filter 12 would be useful for estimating the delay of an impulse response in the two-filter approach of <u>Bershad</u>. The Examiner has not established that delay estimation is a prior art established function of the FIR filter 12 of <u>Amano</u>. Since neither reference establishes that the FIR filter 12 of <u>Amano</u> can be used to estimate a delay, it would not be obvious to substitute it for the time-based filter used in <u>Bershad</u> for estimating delay.

Thirdly, Amano discloses that frequency domain adaptive filtering is superior to time domain adaptive filtering concerning the computations required. See e.g., column 1, lines 11-14. This seems to teach away from the Examiner's proposed modification to use the frequency domain adaptation described in Amano just to estimate a delay that is used by a a time adaptation as discussed in Borshad.

Fourthly, each of Amano and Bershad is complete and functional in itself. Accordingly, there would be no reason to use parts of Amano in Bershad. In addition, modifications not taught in the prior art may be needed in order to make the combination proposed by the Examiner.

Accordingly, for at least one or more of these reasons, Appellants respectfully submit that Amano and Bershad should not be combined as proposed by the Examiner.

II. Bershad and Amano do not disclose all of the limitations of claim 16.

Even if combined, Appellants respectfully submit that Bershad and Amano do not disclose all of the limitations of claim 1. In particular, Bershad, Amano and Hosur do not disclose "a signal transformer to transform a signal in a time domain to a transformed signal in a transform domain; a first adaptive filter in communication with the signal transformer, the first adaptive filter to adapt based on the transformed signal in the transform domain; a delay estimator in communication with the first adaptive filter, the delay estimator to estimate a delay associated with an impulse response based on the adaptation of the first adaptive filter" which was adapted in the transform domain. In particular, neither of Bershad or Amano disclose a delay estimator to estimate a delay associated with an impulse

Docket No. 42P17667

Application No.: 10/749,987

response based on the adaptation of the first adaptive filter that was adapted

based on a transformed signal.

Appellants respectfully submit that Hindsight based on statements in the

patent application cannot be the reason for combining references. It is not correct

merely to focus on the differences between the prior art and the claimed

invention, and then to state that the differences themselves are obvious. The

claimed invention as a whole is to be considered. Further, it is impermissible to

use the patent application as the basis for the obviousness rejection. It is

impermissible to use the claimed invention as an instruction manual or

"template" to piece together the teachings of the prior art so that the claimed

invention is rendered obvious. One cannot use hindsight reconstruction to pick

and choose among isolated disclosures in the prior art to deprecate the claimed

invention.

For at least one or more of these reasons, claim 16 and its dependent

claims are believed to be allowable over Bershad, Amano and Hosur.

Independent claim 26 and its dependent claims are believed to be

allowable over Bershad, Amano and Hosur for one or more similar reasons.

Docket No. 42P17667 Application No.: 10/749,987

JUL 1 4 2008

CONCLUSION

Based on the foregoing, Appellants request that the Board overturn the rejection of all pending claims and hold that all of the claims of the present application are allowable.

Appellants respectfully petition for an extension of time to respond to the outstanding Office Action pursuant to 37 C.F.R. § 1.136(a) should one be necessary. Please charge our Deposit Account No. 02-2666 to cover the necessary fee under 37 C.F.R. § 1.17 for such an extension.

Please charge any shortages and credit any overpayment to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY. SOKOLOFF, TAYLOR & ZAFMAN

Date: July 14, 2008

Brent E. Vecchia

Agent for Appellants

Registration Number: 48,011

1279 Oakmead Parkway Sunnyvale, California 94085-4040

VIII. CLAIMS APPENDIX (37 C.F.R. § 41.37(c)(1)(viii))

The text of the claims involved in the appeal are:

1. (Previously Presented) A method comprising:

transforming a signal from a time domain to a transform domain with a wavelet transform;

adapting a first adaptive filter in the transform domain based on the transformed signal;

estimating a delay of an impulse response based on the adaptation of the first filter;

delaying a signal based on the estimated delay; and

adapting a second adaptive filter in the time domain based on the delayed signal.

- 2. (Cancelled)
- (Previously Presented) The method of claim 1, wherein transforming the signal comprises transforming the signal with a partial Haar transform.
- (Original) The method of claim 3, wherein the partial Haar transform comprises a subset of basis vectors that span a full time range.
- Original) The method of claim 1, wherein estimating the delay comprises identifying one or more adapted coefficients of the first adaptive filter having extreme values relative to the other coefficients of the filter.
- 6. (Original) The method of claim 5, wherein estimating the delay comprises identifying an adapted coefficient having the largest absolute value.

- 7. (Previously Presented) The method of claim 1, wherein estimating the delay comprises transforming the estimate of the delay from the transform domain to the time domain.
- 8. (Original) The method of claim 1, further comprising reducing an echobased on the adaptation of the second adaptive filter.
- 9. (Previously Presented) An article comprising:

a storage medium having stored thereon data representing sequences of instructions that if executed cause an apparatus to:

transform a signal from a time domain to a transform domain with a wavelet transform;

adapt a first adaptive filter in the transform domain based on the transformed signal;

estimate a delay of an impulse response based on the adaptation of the first filter;

adapt a second adaptive filter in the time domain based on a signal that has been delayed based on the estimated delay.

- 10. (Cancelled)
- 11. (Original) The article of claim 9, wherein the instructions to transform further comprise instructions that if executed cause the apparatus to: transform the signal with a partial Haar transform.
- 12. (Original) The article of claim 11, wherein the partial Haar transform comprises a subset of basis vectors that span a full time range.

- 13. (Original) The article of claim 9, wherein the instructions to estimate the delay further comprise instructions that if executed cause the apparatus to:
 - identify an adapted coefficient of the first adaptive filter having the largest absolute value.
- 14. (Previously Presented) The article of claim 9, wherein the instructions to estimate the delay further comprise instructions that if executed cause the apparatus to:
 - transform the estimate of the delay from the transform domain to the time domain.
- 15. (Original) The article of claim 9, wherein the instructions further comprise instructions that if executed cause the apparatus to:
 - reduce an echo based on the adaptation of the second adaptive filter.
- 16. (Previously Presented) An apparatus comprising:
 - a signal transformer to transform a signal in a time domain to a transformed signal in a transform domain;
 - a first adaptive filter in communication with the signal transformer, the first adaptive filter to adapt based on the transformed signal in the transform domain;
 - a delay estimator in communication with the first adaptive filter, the delay estimator to estimate a delay associated with an impulse response based on the adaptation of the first adaptive filter;
 - a delayer in communication with the delay estimator, the delayer to delay a signal in the time domain based on the estimate of the delay; and

Docket No. 42P17667

Application No.: 10/749.987

a second adaptive filter in communication with the delayer, the second

adaptive filter to adapt in the time domain based on the delayed signal.

To: USPTO

- 17. (Original) The apparatus of claim 16, wherein the signal transformer comprises a wavelet transformer.
- 18. (Original) The apparatus of claim 17, wherein the signal transformer comprises a partial Haar transformer.
- 19. (Original) The apparatus of claim 18, wherein the partial Haar transformer comprises a subset of basis vectors that span a full time range.
- 20. (Original) The apparatus of claim 16, wherein the delay estimator comprises a delay estimator to identify an adapted coefficient of the first adaptive filter having the largest absolute value.
- 21. (Original) The apparatus of claim 16, wherein the delayer comprises a delayer that is selected from the group consisting of a buffer and a delay line.
- 22. (Previously Presented) The apparatus of claim 16, wherein the first adaptive filter has 256 or fewer coefficients, and wherein a number of coefficients of the second adaptive filter is based on a longest expected impulse response for the channel.
- 23. (Original) The apparatus of claim 16, wherein the delayer comprises a delayer to delay the input signal so that the second adaptive filter is substantially centered about the estimate of the delay.
- 24. (Original) The apparatus of claim 16, implemented in a network device including a switch fabric.
- 25. (Original) The apparatus of claim 16, implemented in a network device including a DRAM memory.

Docket No. 42P17667

Application No.: 10/749,987

26. (Previously Presented) An apparatus comprising:

a DRAM memory; and

an echo canceller, the echo canceller including:

a signal transformer to transform a signal in a time domain to a transformed signal in a transform domain;

a first adaptive filter in communication with the signal transformer, the first adaptive filter to adapt based on the transformed signal in the transform domain;

a delay estimator in communication with the first adaptive filter, the delay estimator to estimate a delay associated with an impulse response based on the adaptation of the first adaptive filter;

a delayer in communication with the delay estimator, the delayer to delay a signal in the time domain based on the estimate of the delay; and

a second adaptive filter in communication with the delayer, the second adaptive filter to adapt in the time domain based on the delayed signal.

- (Original) The apparatus of claim 26, wherein the signal transformer comprises a partial Haar transformer.
- 28. (Original) The apparatus of claim 26, wherein the delay estimator comprises a delay estimator to identify one or more adapted coefficients of the first adaptive filter having extreme values relative to the other coefficients of the filter.
- 29. (Original) The apparatus of claim 26, further comprising a switch fabric.

Docket No. 42P17667

Application No.: 10/749,987

RECEIVED CENTRAL FAX CENTER JUL 1 4 2008

IX. EVIDENCE APPENDIX (37 C.F.R. § 41.37(c)(1)(ix))

To the best of Appellant's knowledge, there is no evidence that is relied upon by Appellants in this appeal to be included in this section.

JUL 1 4 2008

X. RELATED PROCEEDINGS APPENDIX (37 C.F.R. § 41.37(c)(1)(x))

(To the best of Appellant's knowledge, there are no related appeals or interferences.)